

Substitute for Form 1449/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Complete if Known

Application Number	10/749,686
Filing Date	12-30-2003
First Named Inventor:	Nikolai G. Nikolov
Art Unit	2171
Examiner Name	Unassigned
Attorney Docket Number	6570P043

Sheet 1 of 2

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)				
QCN		US-	5,748,963	05-05-1998	Orr	
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FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³	Number ⁴	Kind Code ⁵ (if known)				

Examiner
Signature

Date Considered

6/24/07

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Substitute for Form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)				Complete if Known	
				Application Number	10/749,686
				Filing Date	12-30-2003
				First Named Inventor:	Nikolai G. Nikolov
				Art Unit	2171
				Examiner Name	Unassigned
Sheet	2	of	2	Attorney Docket Number	6570P043
NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No ¹	www.w3.org/TR/DOM-Level-2-Core/introduction.html What is Document Object Model? , 13 November 2000, pp. 1-7.			T ²
mm		www.cafeonleche.org/books/xmljava/chapters/ch09.html Chapter 9, The Document Object Model , 2001, 2002, pp. 1-2.			
mm		www.cafeonleche.org/books/xmljava/chapters/ch09s04.html Chapter 9, The document Object Model, Trees , 2001, 2002, pp. 1-10.			
mm		www.cafeonleche.org/books/xmljava/chapters/ch09s05.html Chapter 9, The Document Object Model DOM Parsers for Java , 2001, 2002, pp. 1-3.			
mm		www.cafeonleche.org/books/xmljava/chapters/ch09x06.html Chapter 9, The Document Object Model Parsing documents with a DOM Parser , 2001, 2002, pp. 1-10.			
mm		www.cafeonleche.org/books/xmljava/chapters/ch09s09.html Chapter 9, The Document Object Model JAXP Seriallization , 2001, 2002, pp. 1-3.			

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U.S. PATENT DOCUMENTS						
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		Number-Kind Code ² (if known)				
3		US-	7,093,234	08-15-2006	Hibbeler et al.	
3		US-	2002/0170036	11-14-2002	Cobb et al.	
3		US-	2005/0039171 A1	02-17-2005	Avakian et al.	
3		US-	2005/0039187 A1	02-17-2005	Avakian et al.	
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			Filing Date	December 30, 2003
			First Named Inventor:	Nikolai G. Nikolov
			Art Unit	2161
			Examiner Name	Unassigned
Sheet	2	of	Attorney Docket Number	6570.P043

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
mm		GEOFF A. COHEN et al., "Automatic Program Transformation with JOIE", Paper, Department of Computer Science, Duke University, 12 pages	
mm		ALAN SNYDER, "The Essence of Objects: Concepts and Terms", IEEE Software, January 1993, pp. 31-42, Sunsoft, Mountain View	
mm		Duke University, "The Java Object Instrumentation Environment", www.cs.duke.edu/ari/joie/ , last updated May 2003, printed September 28, 2006, 2 pages.	
mm		RALPH KELLER et al., "Supporting the Integration and Evolution of Components Through Binary Component Adaptation", www.cs.ucsb.edu/oocsb , September 9, 1997, Technical Report TRCS97-15, 12 pages	
mm		HAN BOK LEE, "BIT: Bytecode Instrumenting Tool" University of Colorado, Department of Computer Science 1997, 51 pages	
mm		MARKUS DAHM, "Welcome to the Byte Code Engineering Library 4.4.1", http://bcel.sourceforge.net/main.html , last updated 4/12/2002, 2 pages, printed September 28, 2006	
mm		Alphaworks, "Jikes Bytecode Toolkit: Overview", www.alphaworks.ibm.com/tech/jikesbt , posted March 31, 2000, 2 pages, printed September 28, 2006	

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Application Number	10/749,686
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Art Unit	2161
Examiner Name	Unassigned
Attorney Docket Number	6570.P043

Sheet

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RELATED PATENT APPLICATION INFORMATION

Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
mm		NIKOLAI NIKOLOV, "Execution of Modified Byte Code for Debugging, Testing And/Or Monitoring of Object Oriented Software", Serial number 10/749,617, filed on December 30, 2003, Office Action mailed August 23, 2006. Copy of the Office Action, claims as they stood in the application prior to the mailing of the Office Action and a copy of the claims as they were presented to the PTO in response to the Office Action.	
m		NIKOLAI NIKOLOV, "Classfile Conversion Into An Organization Of Objects, and Modification Thereof, To Effect Bytecode Modification", Serial number 10/750,396, Office Action mailed September 5, 2006. Copy of the Office Action, claims as they stood in the application prior to the mailing of the Office Action and a copy of the claims as they were presented to the PTO in response to the Office Action.	

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Substitute for Form 1449/PTO <div style="text-align: center; font-weight: bold; font-size: 1.2em;"> INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i> </div> <div style="position: absolute; left: 0; top: 50px; border: 2px solid black; border-radius: 50%; padding: 10px; text-align: center; width: 100px;"> OIP FEB 06 2007 TRADEMARK OFFICE </div>					Complete if Known	
					Application Number	10/749,686
					Filing Date	12-30-2003
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					Art Unit	2173
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U.S. PATENT DOCUMENTS						
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		Number-Kind Code ² (if known)				
		US- 6,026,237	02-15-2000	Berry, et al		
		US- 6,118,940	09-12-2000	Alexander, et al		
		US- 6,381,735	04-30-2002	Hunt		
		US- 6,662,359	12-9-2003	Berry, et al.		
		US- 6,631,515	10-7-2003	Berstis		

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
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		Number-Kind Code ² (if known)				
mt		US-	6,260,187 B1	07-10-2001	CIRNE	
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Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published		T ²
zw		IAN WELCH, et al., "Kava- A Reflective Java Based on Bytecode Rewriting" SpringerLink -Verlag Berling Heidelberg 2000, Chapter, Lecture Notes in Computer Science, W. Cazzola, et al. Editors, Reflection and Software Engineering, LNCS, pages 155-167.		
zw		Wily Technology, Inc., Wily Solutions "How Introscope® Works" - Enterprise Application Management, http://www.wilytech.com/solutions/products/howWorks.html , 1999-2004, printed 7/2/2004 (1 page).		
zw		BEN STEPHENSON, et al., "Characterization and Optimization of Java Applications" Department of Computer Science, Abstract in Western Research Forum Program & Abstracts, page 20, 2003.		
zw		Wily Technology, Inc., Wily Solutions "Wily Introscope®" - Enterprise Application Management, http://www.wilytech.com/solutions/products/Introscope.html , 1999-2004, printed 7/2/2004 (2 pgs.).		
zw		Sun Microsystems, Java - J2EE 1.4 Application Server Developer's Guide, "Debugging J2EE Applications" Chapter 4, http://java.sun.com/j2ee/1.4/docs/devguide/dgdebug.html , 2003, printed 7/2/2004 (11 pgs.).		
zw		Wily Technology, Inc., Wily Technology, Inc., Wily Solutions "The Wily 5 Solution - Enterprise Applications are Your Business", http://www.wilytech.com/solutions/ibm_family.html , 1999-2004, printed 7/2/2004 (2 pgs.)		
zw		AJAY CHANDER et al., "Mobile Code Security by Java Bytecode Instrumentation", Proceedings of the DARPA Information Survivability Conference & Exposition DISCEX-II 2001, June 12-14, 2001, Stanford University and University of Pennsylvania, ["Partially supported by DARPA contract N66001-00-C-8015 and ONR grant N00014-97-1-0505] (14 pgs.)		
zw		Mobile-Code Security Mechanisms for Jini - "Mobile-Code Security Mechanisms for Jini" Download code, DISCEX 2001 Paper, http://theory.stanford.edu/people/jcm/software/jinifilter.html , printed 7/2/2004 - (3 pgs.)		
zw		ALLEN GOLDBERG, et al., "Instrumentation of Java Bytecode for Runtime Analysis", Fifth ECOOP Workshop on Formal Techniques for Java-like Programs, July 21, 2003, Kestrel Technology, NASA Ames Research Center, Moffett Field, California USA, (9 pgs.).		
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary) FEB 10 2005 Sheet 3 of 3			Application Number	10/749,686
			Filing Date	12-30-2003
			First Named Inventor:	Nikolai G. Nikolov, et al.
			Art Unit	2171
			Examiner Name	Unassigned
			Attorney Docket Number	006570.P043
NON PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published		T ²
RFN		REINHOLD PLOSH, Johannes Kepler University Linz, Austria, "Evaluation of Assertion Support for the Java Programming Language", JOT: Journal of Object Technology, Vol. 1, No. 3, Special issue: TOOLS USA 2002 Proceedings, pp: 5-17, http://www.jot.fm/issues/issue_2002_08/article1		
RFN		ETIENNE GAGNON, et al., "Effective Inline-Threaded Interpretation of Java Bytecode Using Preparation Sequences", Sable Research Group, Université du Québec à Montréal and McGill University, Montreal, Canada, January 2003 (15 pgs.).		
RFN		GEOFF A. COHEN, et al., Software-Practice and Experience, [Version: 2000/03/06 v2.1] "An Architecture for Safe Bytecode Insertion", Department of Computer Science, Duke University (27 pgs.)		
RFN		REYNALD AFFELDT, et al., "Supporting Objects in Run-Time Bytecode Specialization", Department of Graphics and Computer Science, University of Tokyo, ASIA-PEPM '02, September 12-17, 2002, ACM, pp. 50-60.		
RFN		NATHAN MACRIDES, Security Techniques for Mobile Code "SANS Security Essentials (GSEC) Practical Assignment Version 1.4", July 11, 2002, (11 pgs.)		
RFN		DYLAN McNAMEE, et al., "Specialization Tools and Techniques for Systematic Optimization of System Software", Oregon Graduate Institute of Science & Technology, and University of Rennes/IRISA, ACM Transactions on Computer Systems, 2001 (30 pgs.)		
RFN		WEN LI, et al., "Collaboration Transparency in the DISCIPLE Framework", CAIP Center, Rutgers - The State University of New Jersey, Piscataway, NJ, USA, Proceeding of the ACM International Conference on Supporting Group Work (Group '99) November 14-17, 1999, Phoenix, AZ, (10 pgs.)		
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RFN		PETER W. GILL, "Probing for a Continued Validation Prototype", a Thesis Submitted to the Faculty of the Worcester Polytechnic Institute, May 2001, (111 pages)		

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